

A

Please type a plus sign (+) inside this box →

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Disclosure of information unless it displays a valid OMB control number

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	041861-01500
---------------------	--------------

First Inventor or Application Identifier	Crump
--	-------

Title	Endotracheal Catheter and Manifold
-------	------------------------------------

Express Mail Label No. EL319070409US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 32]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
4. Oath or Declaration [Total Pages 1]
- a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission
(*if applicable, all necessary*)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- | | | | | | | |
|--------------------------|--------------|--------------------------|------------|--------------------------|----------------------------|--------------------------------------|
| <input type="checkbox"/> | Continuation | <input type="checkbox"/> | Divisional | <input type="checkbox"/> | Continuation-in-part (CIP) | of prior application No. _____/_____ |
|--------------------------|--------------|--------------------------|------------|--------------------------|----------------------------|--------------------------------------|

Prior application information: Examiner _____ Group / Art Unit: _____
For CONTINUATION or DIVISIONAL APPS only : The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ *Customer Number or Bar Code Label*

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Locke Liddell & Sapp LLP Attn: IP Docket Clerk
------	---

Address	600 Travis Suite 3400
---------	--------------------------

City	Houston	State	Texas	Zip Code	77002-3095
------	---------	-------	-------	----------	------------

<i>Country</i>	U.S.A.	<i>Telephone</i>	713 226 1200	<i>Fax</i>	713 223 3717
----------------	--------	------------------	--------------	------------	--------------

Name (PrintType)

John Wilson Jones

Registration No. (Attorney/Agent)

31,380

Signature

John W. L.

Date _____

1213-95

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

LOCKE LIDDELL & SAPP LLP

ATTORNEYS & COUNSELORS

3400 CHASE TOWER
600 TRAVIS STREET
HOUSTON, TEXAS 77002-3095

AUSTIN • DALLAS • HOUSTON • NEW ORLEANS

(713) 226-1200
FAX: (713) 223-3717
www.lockeliddell.com

John Wilson Jones
Direct Dial: (713) 226-1142
E-mail: jjones@lockeliddell.com

December 13, 1999

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Re: Patent Application for "Endotracheal Catheter and Manifold Assembly with Improved Valve"
Our Ref: 041861-01500

Dear Sirs:

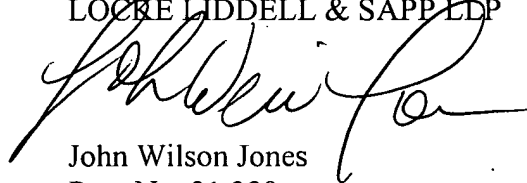
With respect to the above-referenced application, please find the enclosed documents:

- Application Transmittal Form and Fee Calculation Sheet;
- Application for Patent;
- Drawings;
- Certificate of Mailing by Express Mail; and
- Post card.

Please indicate receipt by date-stamping and returning the enclosed, self-addressed, stamped return postcard.

Very truly yours,

LOCKE LIDDELL & SAPP LLP



John Wilson Jones
Reg. No. 31,380
Steve S. Boyd
Reg. No. 42,353

JWJ/SSB/dib
Enclosures
c: Ms. Lisa Moyles (w/encls.)